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Patent Docket P2730P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Ashkenazi, et al.
Serial No.: 09/941,992
Filed: August 28, 2001
For: *Secreted and Transmembrane
Polypeptides and Nucleic Acids
Encoding the Same*

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

November 16, 2001

Teri Renshaw

TRANSMITTAL LETTER

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Assistant Commissioner of Patents
Washington, D.C. 20231

FEB 26 2002

TECH CENTER 1600/2900

Sir:

Transmitted herewith are the following documents:

1. Preliminary Amendment;
2. Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts.

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

By:

Elizabeth M. Barnes
Elizabeth M. Barnes, Ph.D.
Reg. No. 35,059
Telephone No. (650) 225-4563



09157

PATENT TRADEMARK OFFICE



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1646 Sect 8

Patent Docket P2730P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Avi Ashkenazi, et al. Serial No.: 09/941,992 Filed: August 28, 2001 For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: Unknown Examiner: Unknown CONFIRMATION NO: 8312
<p>CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p> <p><i>Teri Renshaw</i> January 4, 2002</p>	

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION FEB 26 2002

Box: MISSING PARTS
Assistant Commissioner of Patents
Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

This is responsive to the second Notice to File Missing Parts - Filing Date Granted dated December 10, 2001. Please note that two Notice to File Missing Parts of Application have been received. As such, Applicant believes this Request was sent in error.

Transmitted herewith are the following documents:

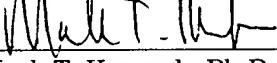
1. Copy of the Response to Notice to File Missing Parts of Application filed by Certificate of Mailing on December 19, 2001.
2. A copy of the duly executed Declaration in 6 parts, copy of Preliminary Amendment, and postcard which was filed with the Patent Office by Certificate of Mailing on November 16, 2001 and December 19, 2001.
3. Copy of Notice to File Missing Parts of Application dated December 6, 2001.
4. Copy of Notice to File Missing Parts of Application dated December 10, 2001

Applicant believe that this communication is responsive to the Notice to File Missing Parts.

Applicant believes that no fee is due as this Request was issued in error. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Date: January 4, 2002

Respectfully submitted,
GENENTECH, INC.

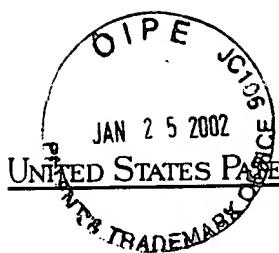
By: 

Mark T. Kresnak, Ph.D.
Reg. No. 42,767
Telephone No. (650) 225-4461



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,992	08/28/2001	Avi J. Ashkenazi	P2730P1C1

CONFIRMATION NO. 8312

09157
GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080

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FORMALITIES LETTER



OC000000007178145

Date Mailed: 12/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS
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In Re Application of:

Serial No: 09/941,992
Filed on: August 28, 2001
Mailed on: December 19, 2001

Docket No: P2730P1C1
By: Elizabeth M. Barnes
Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped:

- Response to Missing Parts of Application.
- Copy of duly executed Declaration in 6 parts, copy of Preliminary Amendment, and copy of post card as filed with the Patent Office by Certificate of Mailing on November 16, 2001.
- Copy of Notice to File Missing Parts.
- Fee of \$130 (authorized to charge to Deposit Account No. 07-0630).
- Post card.

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Patent Docket P2730P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>Application of Avi Ashkenazi, et al. Serial No.: 09/941,992 Filed: August 28, 2001 For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i></p>	<p>Group Art Unit: Unknown Examiner: Unknown CONFIRMATION NO: 8312</p> <p>CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;">December 19, 2001</p> <p style="text-align: right;"><i>[Signature]</i> Teri Renshaw</p>
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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION **RECEIVED**

Box: MISSING PARTS
Assistant Commissioner of Patents
Washington, D.C. 20231

FEB 26 2002

TECH CENTER 1600/2900

Sir:

This is responsive to the Notice to File Missing Parts - Filing Date Granted dated December 6, 2001. Transmitted herewith are the following documents:

1. A copy of the duly executed Declaration in 6 parts, copy of Preliminary Amendment, and postcard which was filed with the Patent Office by Certificate of Mailing on November 16, 2001.
2. Copy of Notice to File Missing Parts of Application.

Applicant believe that this communication is responsive to the Notice to File Missing Parts.

The Commissioner is hereby authorized to deduct the appropriate surcharge fee of \$130 associated with this communication or credit any overpayment to Deposit Account No. 07-0630. A duplicate of this sheet is enclosed.

Respectfully submitted,
GENENTECH, INC.

By: *Elizabeth M. Barnes*
Elizabeth M. Barnes, Ph.D.
Reg. No. 35,059
Telephone No. (650) 225-4563

Date: December 19, 2001



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,992	08/28/2001	Avi J. Ashkenazi	P2730P1C1

09157
GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080

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CONFIRMATION NO. 8312

FORMALITIES LETTER



OC00000007161560

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

[Signature]
PART I - ATTORNEY/APPLICANT COPY



**COPY OF PAPERS
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In Re Application of:

Serial No: 09/941,992

Filed on: August 28, 2001

Mailed on: November 16, 2001

Docket No: P2730P1C1

By: Elizabeth M. Barnes

Reg. No.: 35,059



The following has been received by the U.S. Patent Office on the date stamped:

- Transmittal letter;
- Preliminary Amendment;
- Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts; and
- post card.

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